



Attorney Docket No.: 2102397-991720

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hieu Van Tran et al.

Serial No.: 09/929,542

Art Unit: 2824

Filed: August 13, 2001

Examiner: Not yet known

Title: **ARRAY ARCHITECTURE AND OPERATING METHOD FOR DIGITAL  
MULTILEVEL NONVOLATILE MEMORY INTEGRATED CIRCUIT SYSTEM**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: **BOX MISSING PARTS**, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 16, 2001.

Rosa A. Caviedes

\* \* \*

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Utility Patent Application" mailed by the United States Patent and Trademark Office on August 31, 2001, the following documents are enclosed to complete the filing of the above-referenced patent application:


1. Copy of Notice to File Missing Parts (1 pg.);
2. Copy of Executed Declaration and Power of Attorney from parent application Serial No. 09/231,928, issued under U.S. Patent 6,282,145 (2pgs.);
3. Transmittal of Formal Drawings with 48 sheets of substitution drawings;
4. Check in the amount of \$130.00 for the declaration surcharge; and
5. Return Postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: October 16, 2001

By   
*Edward B. Weller*  
Reg. No. 37,468  
Attorney for Applicants

GRAY CARY WARE & FREIDENRICH  
1755 Embarcadero Road  
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Rosa A. Caviedes

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**TRANSMITTAL OF FORMAL DRAWINGS**

**ATTN: OFFICIAL DRAFTSPERSON**

Asst. Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith are forty-eight (48) sheets of formal drawings for the above-identified application.

Please address all future communications regarding this application to:

Edward B. Weller  
GRAY CARY WARE FREIDENRICH LLP  
1755 EMBARCADERO ROAD  
Palo Alto, CA 94303-3340


Please direct all telephone calls to Edward B. Weller at (650) 833-2436.

Please charge any additional fees, including any fees necessary for extensions of time, or credit overpayment to Deposit Account No. 07-1896. A copy of this sheet is enclosed for accounting purposes.

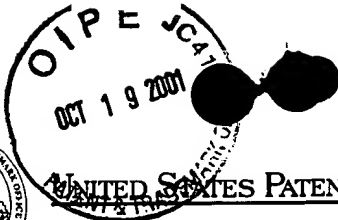
Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: October 16, 2001

By   
Edward B. Weller  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,542	08/13/2001	Hieu Van Tran	2102397-991720

CONFIRMATION NO. 9808

## FORMALITIES LETTER



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Edward B. Weller  
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1755 Embarcadero Road  
Palo Alto, CA 94303-3340

Date Mailed: 08/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/22/2001 CCHAU1 00000041 09929542

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/10/2010 10:10:10